

**FORM NO. MGT-7**

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



सत्यमेव जयते

**Annual Return**

Form language  English  Hindi

Refer the instruction kit for filing the form.

**I. REGISTRATION AND OTHER DETAILS**

(i) \* Corporate Identification Number (CIN) of the company

L51109WB1982PLC035011

Pre-fill

Global Location Number (GLN) of the company

\* Permanent Account Number (PAN) of the company

AAACL4603M

(ii) (a) Name of the company

PH TRADING LIMITED

(b) Registered office address

PODDAR POINT, 113 PARK ST, BLOCK B, 10-TH FLOOR  
KOLKATA  
West Bengal  
700016  
India

(c) \*e-mail ID of the company

phtradinglimited@gmail.com

(d) \*Telephone number with STD code

03340675050

(e) Website

www.phtradinglimited.com

Date of Incorporation

25/06/1982

(iv)	Type of the Company	Category of the Company	Sub-category of the Company
	Public Company	Company limited by shares	Indian Non-Government company

(v) Whether company is having share capital  Yes  No

(vi) \*Whether shares listed on recognized Stock Exchange(s)  Yes  No

(a) Details of stock exchanges where shares are listed

S. No.	Stock Exchange Name	Code
1	BOMBAY STOCK EXCHANGE	1
	THE CALCUTTA STOCK EXCHANGE	3

(b) CIN of the Registrar and Transfer Agent

U74140WB1994PTC062636

Pre-fill

Name of the Registrar and Transfer Agent

NICHE TECHNOLOGIES PRIVATE LIMITED

Registered office address of the Registrar and Transfer Agents

3A, Auckland Place  
7th Floor, Room No. 7A & 7B,

(vi) \*Financial year From date 01/04/2019 (DD/MM/YYYY) To date 31/03/2020 (DD/MM/YYYY)

(viii) \*Whether Annual general meeting (AGM) held  Yes  No

(a) If yes, date of AGM 29/09/2020

(b) Due date of AGM 30/09/2020

(c) Whether any extension for AGM granted  Yes  No

## II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

\*Number of business activities 1

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	G	Trade	G1	Wholesale Trading	100

## III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

\*No. of Companies for which information is to be given 1

Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1	DHYANESHWAR TRADERS PRIV	U51909WB2014PTC202428	Subsidiary	100

## IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

(i) \*SHARE CAPITAL

(a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	500,000	480,000	480,000	480,000
Total amount of equity shares (in Rupees)	5,000,000	4,800,000	4,800,000	4,800,000

Number of classes

1

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
<b>EQUITY SHARES @RS. 10 EACH</b>				
Number of equity shares	500,000	480,000	480,000	480,000
Nominal value per share (in rupees)	10	10	10	10
Total amount of equity shares (in rupees)	5,000,000	4,800,000	4,800,000	4,800,000

(b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	0	0	0	0
Total amount of preference shares (in rupees)	0	0	0	0

Number of classes

0

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of preference shares				
Nominal value per share (in rupees)				
Total amount of preference shares (in rupees)				

(c) Unclassified share capital

Particulars	Authorised Capital
Total amount of unclassified shares	0

(d) Break-up of paid-up share capital

Class of shares	Number of shares	Total nominal amount	Total Paid-up amount	Total premium
<b>Equity shares</b>				
At the beginning of the year	480,000	4,800,000	4,800,000	

<b>Increase during the year</b>	0	0	0	0
i. Public issues	0	0	0	0
ii. Rights issue	0	0	0	0
iii. Bonus issue	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0
v. ESOPs	0	0	0	0
vi. Sweat equity shares allotted	0	0	0	0
vii. Conversion of Preference share	0	0	0	0
viii. Conversion of Debentures	0	0	0	0
ix. GDRs/ADRs	0	0	0	0
x. Others, specify				
<b>Decrease during the year</b>	0	0	0	0
i. Buy-back of shares	0	0	0	0
ii. Shares forfeited	0	0	0	0
iii. Reduction of share capital	0	0	0	0
iv. Others, specify	0	0	0	
<b>At the end of the year</b>	480,000	4,800,000	4,800,000	
<b>Preference shares</b>				
<b>At the beginning of the year</b>	0	0	0	
<b>Increase during the year</b>	0	0	0	0
i. Issues of shares	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0
iii. Others, specify	0	0	0	
<b>Decrease during the year</b>	0	0	0	0
i. Redemption of shares	0	0	0	0

ii. Shares forfeited	0	0	0	0
iii. Reduction of share capital	0	0	0	0
iv. Others, specify	0	0	0	
At the end of the year	0	0	0	

(ii) Details of stock split/consolidation during the year (for each class of shares)

0

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) \*

Nil

[Details being provided in a CD/Digital Media]

Yes  No  Not Applicable

Separate sheet attached for details of transfers

Yes  No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting	24/09/2019		
Date of registration of transfer (Date Month Year)	11/02/2020		
Type of transfer	Equity Shares	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	45,810	Amount per Share/ Debenture/Unit (in Rs.)	10
Ledger Folio of Transferor	1601010000385403		

Transferor's Name	HIMATSINGKA	CHANDRA	PRAKASH
	Surname	middle name	first name

Ledger Folio of Transferee	1601010000385397
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Transferee's Name	HIMATSINGKA		AVISHEK
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)	11/02/2020
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Type of transfer	Equity Shares	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock
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Number of Shares/ Debentures/ Units Transferred	47,600	Amount per Share/ Debenture/Unit (in Rs.)	10
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Ledger Folio of Transferor	1601010000385382
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Transferor's Name	HIMATSINGKA	DEVI	MADHURI
	Surname	middle name	first name

Ledger Folio of Transferee	1601010000385397
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Transferee's Name	HIMATSINGKA		AVISHEK
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)	11/02/2020
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Type of transfer	Equity Shares	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock
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Number of Shares/ Debentures/ Units Transferred	46,000	Amount per Share/ Debenture/Unit (in Rs.)	10
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Ledger Folio of Transferor	1601010000385587
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Transferor's Name	HIMATSINGKA		VIKRAM
	Surname	middle name	first name

Ledger Folio of Transferee	1601010000385397
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Transferee's Name	HIMATSINGKA		AVISHEK
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)	11/02/2020
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Type of transfer	Equity Shares	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock
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Number of Shares/ Debentures/ Units Transferred	28,580	Amount per Share/ Debenture/Unit (in Rs.)	10
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Ledger Folio of Transferor	1601010000385418
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Transferor's Name	HIMATSINGKA		ANURADHA
	Surname	middle name	first name

Ledger Folio of Transferee	1601010000385397
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Transferee's Name	HIMATSINGKA		AVISHEK
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)	11/02/2020
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Type of transfer	Equity Shares	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock
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Number of Shares/ Debentures/ Units Transferred	49,710	Amount per Share/ Debenture/Unit (in Rs.)	10
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Ledger Folio of Transferor	1601010000385378
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Transferor's Name	HIMATSINGKA		ADITI
	Surname	middle name	first name

Ledger Folio of Transferee	1601010000385397
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Transferee's Name	HIMATSINGKA		AVISHEK
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)	11/02/2020
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Type of transfer	Equity Shares	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock
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Number of Shares/ Debentures/ Units Transferred	22,900	Amount per Share/ Debenture/Unit (in Rs.)	10
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Ledger Folio of Transferor	1601010000385646
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Transferor's Name			PRAKASH CHANDRA VIKRAM K
	Surname	middle name	first name

Ledger Folio of Transferee	1601010000385397
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Transferee's Name	HIMATSINGKA		AVISHEK
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)	11/02/2020
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Type of transfer	Equity Shares	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock
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Number of Shares/ Debentures/ Units Transferred	200	Amount per Share/ Debenture/Unit (in Rs.)	10
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Ledger Folio of Transferor	1601010000385781
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Transferor's Name	<input type="text"/>	<input type="text"/>	HIMATSINGKA CHEMICALS PVT
	Surname	middle name	first name

Ledger Folio of Transferee	<input type="text" value="1601010000385397"/>		
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Transferee's Name	<input type="text" value="HIMASINGKA"/>	<input type="text"/>	<input type="text" value="AVISHEK"/>
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)	<input type="text" value="13/02/2020"/>
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Type of transfer	<input type="text" value="Equity Shares"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock
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Number of Shares/ Debentures/ Units Transferred	<input type="text" value="300"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text" value="10"/>
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Ledger Folio of Transferor	<input type="text" value="1601010000391128"/>		
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Transferor's Name	<input type="text" value="BHJWALKA"/>	<input type="text" value="KUMAR"/>	<input type="text" value="AJIT"/>
	Surname	middle name	first name

Ledger Folio of Transferee	<input type="text" value="1601010000385397"/>		
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Transferee's Name	<input type="text" value="HIMATSINGKA"/>	<input type="text"/>	<input type="text" value="AVISHEK"/>
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)	<input type="text" value="19/02/2020"/>
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Type of transfer	<input type="text" value="Equity Shares"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock
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Number of Shares/ Debentures/ Units Transferred	<input type="text" value="200"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text" value="10"/>
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Ledger Folio of Transferor	<input type="text" value="1203790000158432"/>		
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Transferor's Name	JHUNJHUNWALA	SHANKAR	RAVI
	Surname	middle name	first name

Ledger Folio of Transferee

Transferee's Name	HIMATSINGKA		AVISHEK
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)

Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares, 3 - Debentures, 4 - Stock
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Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
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Ledger Folio of Transferor

Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Ledger Folio of Transferee

Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

(iv) \*Indebtedness including debentures (Outstanding as at the end of financial year)

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	0	0	0
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
Secured Loans (including interest outstanding/accrued but not due for payment) excluding deposits			0
Unsecured Loans (including interest outstanding/accrued but not due for payment) excluding deposits			0
Deposit			0
Total			0

Details of debentures

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	0	0	0	0
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

(v) Securities (other than shares and debentures)

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total					

V. \*Turnover and net worth of the company (as defined in the Companies Act, 2013)

(i) Turnover

35,658,882

(ii) Net worth of the Company

27,259,763

VI. (a) \*SHARE HOLDING PATTERN - Promoters

S. No.	Category	Equity	Preference
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		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	290,950	60.61	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial Institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	24,000	5	0	
10.	Others	0	0	0	
	<b>Total</b>	<b>314,950</b>	<b>65.61</b>	<b>0</b>	<b>0</b>

total number of shareholders (promoters)

2

(b) \*SHARE HOLDING PATTERN - Public/Other than promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	83,740	17.45	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	

2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	81,310	16.94	0	
10.	Others	0	0	0	
	<b>Total</b>	<b>165,050</b>	<b>34.39</b>	<b>0</b>	<b>0</b>

Total number of shareholders (other than promoters)

37

Total number of shareholders (Promoters+Public/  
Other than promoters)

39

**VII. \*NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS**  
(Details, Promoters, Members (other than promoters), Debenture holders)

Details	At the beginning of the year	At the end of the year
Promoters	11	2
Members (other than promoters)	37	37
Debenture holders	0	0

**VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**

**(A) \*Composition of Board of Directors**

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive

<b>A. Promoter</b>	2	0	3	0	60.61	0
<b>B. Non-Promoter</b>	0	3	0	3	0	0
(i) Non-Independent	0	0	0	0	0	0
(ii) Independent	0	3	0	3	0	0
<b>C. Nominee Directors representing</b>	0	0	0	0	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
<b>Total</b>	<b>2</b>	<b>3</b>	<b>3</b>	<b>3</b>	<b>60.61</b>	<b>0</b>

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date

(B) (i) \*Details of directors and Key managerial personnel as on the closure of financial year

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
PRAKASH CHANDRA I	00613105	Whole-time director	0	
AVISHEK HIMATSINGH	00613082	Director	290,950	
AMAR CHAND AGARW	00651225	Director	0	
TUSHAR SURESH DA	00659965	Director	0	
ARATI TRIVEDI	07139880	Director	0	
ASHOK KUMAR RAME	01578623	Director	0	
RAM DEO AGARWALA	ADAPA1868R	CFO	0	
VRITTI TODI CHOUDH	AGKPT9910A	Company Secretar	0	
TUSHAR SURESH DA	ACSPD7005Q	CEO	0	

(ii) Particulars of change in director(s) and Key managerial personnel during the year

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
NITIN GOEL	AQEPG2048J	Company Secretary	01/10/2019	CESSATION
VRITTI TODI CHOUDH	AGKPT9910A	Company Secretary	01/10/2019	APPOINTMENT
TUSHAR SURESH DA	ACSPD7005Q	CEO	10/06/2019	APPOINTMENT
TUSHAR SURESH DA	00659965	Director	18/04/2019	APPOINTMENT
RAM DEO AGARWALA	ADAPA1868R	CFO	18/04/2019	APPOINTMENT
PRAKASH CHANDRA I	00613105	Whole-time director	18/04/2019	APPOINTMENT

#### IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

##### A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS

Number of meetings held

1

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
ANNUAL GENERAL MEET	24/09/2019	48	11	65.7

##### B. BOARD MEETINGS

\*Number of meetings held

14

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	18/04/2019	5	4	80
2	18/04/2019	5	4	80
3	30/05/2019	5	5	100
4	10/06/2019	5	3	60
5	25/07/2019	6	4	66.67
6	13/08/2019	6	6	100
7	06/09/2019	6	6	100

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
8	01/10/2019	6	5	83.33
9	11/11/2019	6	6	100
10	03/12/2019	6	5	83.33
11	15/01/2020	6	4	66.67
12	31/01/2020	6	6	100

### C. COMMITTEE MEETINGS

Number of meetings held

5

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	AUDIT COMM	30/05/2019	4	4	100
2	AUDIT COMM	13/08/2019	4	4	100
3	AUDIT COMM	11/11/2019	4	4	100
4	AUDIT COMM	31/01/2020	4	4	100
5	NOMINATION	01/10/2019	4	4	100

### D. ATTENDANCE OF DIRECTORS

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	
1	PRAKASH CH	14	14	100	5	5	100	Yes
2	AVISHEK HIM	14	14	100	0	0	0	Yes
3	AMAR CHAN	14	14	100	5	5	100	Yes
4	TUSHAR SUF	10	10	100	0	0	0	No
5	ARATI TRIVEI	14	14	100	5	5	100	No
6	ASHOK KUM	14	11	78.57	5	5	100	No

**X. \*REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL** Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

0

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1							0
	Total						

Number of CEO, CFO and Company secretary whose remuneration details to be entered

3

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	TUSHAR SURESH	CEO	295,400	0	0	2,500	297,900
2	NITIN GOEL	COMPANY SEC	75,000	0	0	0	75,000
3	VRITTI TODI CHOU	COMPANY SEC	65,538	0	0	0	65,538
	Total		435,938	0	0	2,500	438,438

Number of other directors whose remuneration details to be entered

4

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	AMAR CHAND AG	INDEPENDENT	0	0	0	3,500	3,500
2	ARATI TRIVEDI	INDEPENDENT	0	0	0	2,750	2,750
3	ASHOK KR. JHANV	INDEPENDENT	0	0	0	1,500	1,500
4	AVISHEK HIMATSI	EXECUTIVE DIR	0	0	0	3,500	3,500
	Total		0	0	0	11,250	11,250

**XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES**\* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year  Yes  No

B. If No, give reasons/observations

**XII. PENALTY AND PUNISHMENT - DETAILS THEREOF**(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS  Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES  Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

Yes  No

**7. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES**

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

CHANDI PRASANNA JENA

Whether associate or fellow

Associate  Fellow

Certificate of practice number

8023

**I/We certify that:**

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.  
 (b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

**Declaration**

I am Authorised by the Board of Directors of the company vide resolution no. ... 03 dated 27/07/2020

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

- Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
- All the required attachments have been completely and legibly attached to this form.

**Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.**

To be digitally signed by

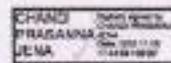
Director



DIN of the director

00613105

To be digitally signed by



Company Secretary

Company secretary in practice

Membership number

Certificate of practice number

**Attachments**

1. List of share holders, debenture holders
2. Approval letter for extension of AGM;
3. Copy of MGT-8;
4. Optional Attachment(s), if any

- Attach
- Attach
- Attach
- Attach

**List of attachments**

List of Shareholders.pdf  
MGT-8 PHTL.pdf

Remove attachment

Modify

Check Form

Prescrutiny

Submit

This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company



## FORM NO. MGT-8

[Pursuant to section 92(2) of the Companies Act, 2013 and rule 11(2) of Companies (Management and Administration) Rules, 2014]

### CERTIFICATE BY COMPANY SECRETARY IN PRACTICE

I have examined the registers, records and books and papers of **M/S. PH TRADING LIMITED (CIN - L51109WB1982PLC035011)** (the Company) as required to be maintained under the Companies Act, 2013 (the Act) and the rules made thereunder for the financial year ended on **31<sup>st</sup> March, 2020**. In my opinion and to the best of my information and according to the examinations carried out by me and explanations furnished to me by the company, its officers and agents, I certify that:

- A. the Annual Return states the facts as at the close of the aforesaid financial year correctly and adequately.
- B. during the aforesaid financial year the Company has complied with provisions of the Act & Rules made there under the respect of:
  1. its status under the Act (**During the F Y under review, the status of the Company remained unchanged, hence the Company was not required to comply with the provisions applicable for the said purpose**).
  2. maintenance of registers/records and making entries therein within the time prescribed therefore.
  3. filing of forms and returns as stated in the annual return, with the Registrar of Companies, Regional Director:

Sl. No.	e-Form No.	Event Date	SRN
1.	MGT-14	02-05-2019	H58103185
		23-08-2019	H84414937
		01-10-2019	H99111767
2.	MGT-7	16-12-2019	R24234353
3.	AOC-4 XBRL for the FY ending on 31-03-2019	13-11-2019	R12465175
4.	MGT-15	01-10-2019	H99017543
5.	DIR-12	19-04-2019	H52701679
		22-04-2019	H53701835
		16-07-2019	H76919059
		01-10-2019	H99111296



		18-06-2020	R42257659
6	DPT-3	29-06-2019	H72834633
			H72827223
7	BEN -2	29-07-2019	H79349866
8	IEPF-1	27-01-2020	R31363948
9	INC-28	02-04-2019	H49312036

4. calling/convening/holding meetings of Board of Directors or its committees, if any, and the meetings of the members of the company on due dates as stated in the annual return in respect of which meetings, proper notices were given and the proceedings including the circular resolutions and resolutions passed by postal ballot, if any, have been properly recorded in the Minute Book/registers maintained for the purpose and the same have been signed.

5. closure of Register of Members /Security holders, as the case may be applicable has been complied with.;

6. advances / loans to its directors and/or persons or firms or companies referred in section 185 of the Act **(During the F Y under review, since the Company did not give any advances/loans etc., to its Directors or any other entity in which Directors are interested as referred in the aforesaid section, the Company was not required to comply with such provisions of the Act);**

7. contracts/arrangements with related parties as specified in section 188 of the Act. **(The Company has duly complied with the provisions regarding related party transactions and proper disclosures duly mentioned in the Financial Statements for the period ended 31<sup>st</sup> March 2020)**

8. issue or allotment or transfer or transmission or buy back of securities/redemption of preference shares or debentures/alteration or reduction of share capital/conversion of shares/securities and issue of security certificates in all instances : **(During the FY under review, since the aforesaid events were taken place, the Company was required to comply with the provisions of the Act applicable for aforesaid purposes);**

During the FY under review, Below Inter se-transfer took place .

Sl. No.	Transferor Name	No. of Shares	Date of Acquisition	Transferee Name
1	Prakash Chandra Himatsingka	45810	11-02-2020	Avishek Himatsingka
2	Madhuri Devi Himatsingka	47600	11-02-2020	Avishek Himatsingka
3	Vikram Himatsingka	46000	11-02-2020	Avishek Himatsingka
4	Anuradha Himatsingka	28580	11-02-2020	Avishek Himatsingka
5	Aditi Himatsingka	49710	11-02-2020	Avishek Himatsingka
6	Prakash Chandra Vikram Kumar (HUF)	22900	11-02-2020	Avishek Himatsingka
7	Himatsingka Chemicals Private Limited	200	11-02-2020	Avishek Himatsingka
8	Ajit Kumar Bhuwala	300	13-02-2020	Avishek Himatsingka
9	Ravi Shankar Jhunjhunwala	200	19-02-2020	Avishek Himatsingka
	<b>Total</b>	<b>241300</b>		



9. keeping in abeyance the rights to dividend, rights shares and bonus shares pending registration of transfer of shares in compliance with the provisions of the Act : (During the FY under review, the Company was not required to comply with the provisions applicable for the aforesaid purposes);

10. declaration/ payment of dividend; transfer of unpaid / unclaimed dividend/ other amounts as applicable to the Investor Education and Protection Fund in accordance with section 125 of the Act : (During the FY under review, no such amount was pending for transfer to the Investor Education and Protection Fund by the Company, hence, the Company was not required to comply with the aforesaid provisions as applicable for aforesaid purposes);

11. signing of audited financial statement as per the provisions of section 134 of the Act and report of directors is as per sub-sections (3), (4) and (5) thereof : (Since the aforesaid provisions are applicable for the financial statement prepared for the FY commencing on or after 01<sup>st</sup> April, 2019 and during the FY under review, the Company was required to sign the audited financial statement and report of Board in accordance with the provisions of the Companies Act, 2013.

12. constitution/appointment/re-appointments/retirement/filling up casual vacancies/ disclosures of the Directors, Key Managerial Personnel and the remuneration paid to them (During the FY under review, appointment/re-appointment/retirement/filling up casual vacancies were taken place, hence, the Company was required to comply with the applicable provisions in that respect.);

During the FY under review, below appointment took place .

Sl no.	Directors Name	Designation	Date	STATUS
1	Prakash Chandra Himatsingka	Whole Time Director	18/04/2019	APPOINTMENT
2	TUSHAR SURESH DAVE	C.E.O	18/04/2019	APPOINTMENT
3	TUSHAR SURESH DAVE	EXECUTIVE DIRECTOR	10/6/2019	APPOINTMENT
4	RAM DEO AGARWALA	C.F.O	18/04/2019	APPOINTMENT
5	ARATI TRIVEDI	INDEPENDENT DIRECTOR	27/03/2020	RE-APPOINTMENT
6	AMAR CHAND AGARWAL	INDEPENDENT DIRECTOR	27/03/2020	RE-APPOINTMENT
7	VRITTI TODI COUDHARY	COMPANY SECRETARY	1/10/2019	APPOINTMENT

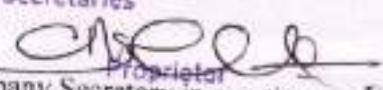
13. ~~appointment/re-appointment/filling up casual vacancies~~ of auditors as per the provisions of section 139 of the Act.

14. approvals required to be taken from the Central Government, Tribunal, Regional Director, Registrar, Court or such other authorities under the various provisions of the Act (During the FY under review, since no approval was required to be taken from the aforesaid authorities, hence the compliance of the provisions prescribed for the aforesaid purposes was not applicable to the Company);



15. acceptance/renewal/repayment of deposits (During the FY under review, since no Public Deposit was accepted/renewed/repaid by the Company, hence the Company was not required to comply with the provisions applicable for aforesaid purposes);
16. borrowings from its directors, ~~members, public financial institutions, banks and others and creation/ modifications /satisfaction~~ of charges in the respect, wherever applicable: (During the FY under review, since the Company did not borrow from members/public financial institution, hence, the Company was not required to comply the applicable provisions in that respect. The Company has existing charge creation dated 02/04/2013 and charge last modified dated 09/07/2018, hence the Company was also required comply with the applicable provisions in that respect);
17. loans and investments or guarantees given or providing of securities to other bodies corporate or persons falling under the provisions of section 186 of the Act: (During the FY under review, since the Company did not give any loan or make any investment or provided any guarantee or security to or on behalf of any person in excess of the limit prescribed under provisions of Section 186 of the Act, hence, the Company was not required to comply with the applicable provisions of Section 186 of the Act);
18. alteration of the provisions of the Memorandum and/or Articles of Association of the Company (During the FY under review, since no alteration was made to the provision of Memorandum and Articles of Association of the Company, hence, the Company was not required to comply with provisions applicable in that respect).

Signature **C. P. JENA & ASSOCIATES**  
Company Secretaries

  
Name of Company Secretary in practice:  
C. P. No.8023

Place: Kolkata  
Date: 27.07.2020

UDIN: A0220496001160043





**PH TRADING LIMITED**  
PODDAR POINT, 'B' BLOCK, 10TH FLOOR,  
113, PARK STREET, KOLKATA - 700 016  
CIN No. : L51109WB1982PLC035011

**LIST OF SHAREHOLDERS AS ON 31ST MARCH 2020**

First Name	Middle Name	Last Name	Folio Number	DP ID-Client Id Account Number	Number of Shares held	Class of Shares
PREMLATA		JALAN	000588			
PRAHLAD	KR	JALAN	000589		300	Equity share
KUSUM	LATA	JALAN	000591		300	Equity share
JAG	MOHAN	JALAN	000593		300	Equity share
KUSUM	LATA	JAIPURA	000600		300	Equity share
RAJENDRA	KR	JAIPURA	000601		200	Equity share
RAM	PYARI	JAIPURA	000602		200	Equity share
SURESH	KUMAR	BAMB	000674		200	Equity share
M	K	SHAW	000684		300	Equity share
POPAT	LAL	NAGARDAS	000686		50	Equity share
R	K	MODI	000687		50	Equity share
P	GOPINATH	PILLAI	000688		50	Equity share
SUMAN		NEMANI	000689		50	Equity share
KIRAN		NEMANI	000690		50	Equity share
SATNARAYAN		GUPTA	000691		50	Equity share
TANJANA		KHEMKA	000697		50	Equity share
KISHAN		PODDAR	000699		100	Equity share
SHANKAR	LAL	AGARWAL	000712		500	Equity share
CHHITTARMAL		AGARWAL	000767		500	Equity share
RANI		AGARWAL	000768		10000	Equity share
SURESH	DEEP	SHARMA	000782		8000	Equity share
SURENDRA		GUPTA	000809		50	Equity share
RAJENDRA		CHOWDHURY	000812		40	Equity share
SAHADEB		SAH	000818		50	Equity share
GHANSHYAM	DAS	YADUKA	000833		50	Equity share
BASUDDO	FD	YADUKA	000836		20000	Equity share
MANOJ	KUMAR	AGARWAL	000837		25200	Equity share
RAJENDRA	KUMAR	JAIPURA	001001		300	Equity share
PRANESH	TRADERS	PVT LTD	001005		200	Equity share
PRADHAN	DEALERS	PVT LTD	001006		9000	Equity share
SUKARMA	COMMERCE	PVT LTD	001007		11200	Equity share
ASHTVINAYAK	TRADERS	PVT LTD	001008		18000	Equity share
PRADHAN	DEALERS	PVT LTD	001009		18000	Equity share
UTSAV	UTTAM	BAERI		1201040000049256	1400	Equity share
MARIS	N	GLIX AUTOMOTIVES PRIVATE LIMITED		1207980000012047	300	Equity share
MARIS	N	GLIX MOTORS PRIVATE LIMITED		1207980000012051	12000	Equity share
AVISHEK		HIMATSIKKA		1601010000385397	11710	Equity share
VARIABLE	PLAZA	PVT LTD		1601010000385699	290950	Equity share
BASUDDO	PHASAD	YADUKA		1602010000113620	24000	Equity share
					20000	Equity share

For PH TRADING LTD.

*Himatsingka*  
Director

For PH TRADING LTD.

*Audite Himatsingka*  
Director